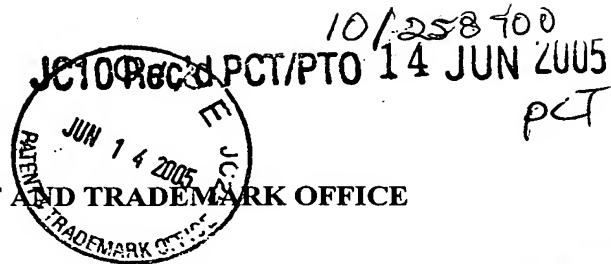


Docket No. 268093US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ralf NOERENBERG, et al.

SERIAL NO: 10/528,700

GAU:

FILED: March 22, 2005

EXAMINER:

**MAIL STOP PCT**

FOR: ALKYL GLYCIDOL CARBONATES AS COSURFACTANTS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>268093US0PCT</b>		SERIAL NO. <b>10/528,700</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Ralf NOERENBERG, et al.</b>			
				FILING DATE <b>March 22, 2005</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4 341 905	07/27/82	STREGE			
	AB	6 447 952	09/10/02	SPIEGEL et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AI	1 116 751	07/18/01	EP		NO	
	AJ	01/52340	07/19/01	WO		NO	
	AK	62-114985	05/26/87	JP		NO	
	AL	1 070 733	01/24/01	EP		NO	
	AM	21 59 991	06/14/73	DE (equivalent of GB 1364199)		NO	
	AN	23 44 197	03/27/75	DE (equivalent of US 4029679 & US 4048374)		NO	
	AO	1 769 119	06/16/71	DE (equivalent of US 3578623)		NO	
	AP	01/30930	05/03/01	WO (with English abstract)		NO	
	AQ	98/00418	01/08/98	WO		NO	
	AR	97/04059	02/06/97	WO		NO	
	AS	97/19159	05/29/97	WO (with English abstract & equivalent of US 5994290)		NO	
	AT	102 46 140	04/15/04	DE		NO	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AU	SMITH, Gordon J. "Aminoxide", Seifen-Oele-Fette-Wachse, vol. 105, pages 319-322, 345-347 1979					
	AV	PADDOCK, Robert L. et al. "Chemical CO2 Fixation: Cr(III) Salen Complexes as Highly Efficient Catalysts for the Coupling of CO2 and Epoxides", J. Am. Chem. Soc., vol. 123, pages 11498-11499 2001					
	AW	KISCH, Horst et al. "Bifunktionelle Katalysatoren zur Synthese cyclischer Carbonate aus Oxiranen und Kohlendioxid", Chem. Ber., vol. 119, pages 1090-1094, with English abstract 1986					
	AX	BABA, Akio et al. "Carbonate Formation from Oxiranes and Carbon Dioxide Catalyzed by Organotin Halide-Tetraalkylphosphonium Halide Complexes", Bull. Chem. Soc. Jpn., vol. 60, no. 4, pages 1552-1554 1987					
	AY	LERMONTOV, S.A. et al. "Triethylamine as an effective catalyst for the reaction of CO2 with epichlorohydrin", Russian Chemical Bulletin, vol. 47, no. 7, pages 1405-1406 1998					
	AZ	ROKICKI, Gabriel et al. "Cyclic Carbonates from Carbon Dioxide and Oxiranes", Monatshefte fuer Chemie, vol. 115, pages 205-214 1984				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/528,700  
Docket No.: 268093US0PCT

#### STATEMENT OF RELEVANCY

- 1) References AA, AB, AI-AS have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AT-AZ are discussed in the specification. A copy of these references is being submitted here with.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.